

# Concurrency

### Wikipedia definition

 "Concurrency is a property of systems in which several computations are executing and overlapping in time, and potentially interacting with each other. The overlapping computations may be executing on multiple cores in the same chip, preemptively time-shared threads on the same processor, or executed on physically separated processors."

### Primary challenge

• managing access to shared, mutable state

# Why Functional Programming?

### Easier concurrency

• immutable data doesn't require locking for concurrent access

### Simpler code

- pure functions are easier to write, test and debug
- code is typically more brief



# Why Not Java?

### Mutable is the default

• not as natural to restrict changes to data as in FP languages

### Concurrency based on locking is hard

- requires determining which objects need to be locked and when •
- these decisions need to be reevaluated when • the code is modified or new code is added
- if a developer forgets to lock objects that need to be locked or locks them at the wrong times, bad things can happen
  - includes deadlocks (progress stops) and race conditions (results depend on timing)
- if objects are locked unnecessarily, there is a performance penalty •
- Verbose syntax



	Why Clojure?	created by Rich Hickey
• C	oncurrency support	
•	reference types (Vars, Refs, Atoms and Agents)	
	mutable references to immutable data	
•	Software Transactional Memory (STM) used with Refs	
• In	nmutability support	
•	Clojure-specific collections: list, vector, set and map	
	• all are immutable, heterogeneous and persistent	
•	persistent data structures provide efficient creation of new versions that share memory	
	10	



# ... Why Clojure? ...

### Sequences

- a common logical view of data including Java collections, Clojure collections, strings, streams, trees (including directory structures and XML)
- supports lazy evaluation of sequences

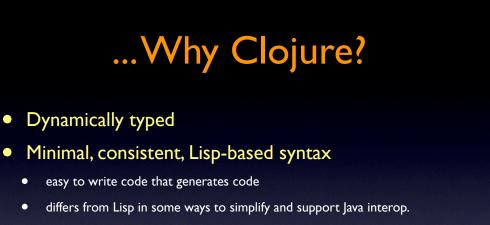
### • Runs on JVM (Java 5 or greater)

• provides portability, stability, performance and security

### Java interoperability

 can use libraries for capabilities such as I/O, concurrency, database access, GUIs, web frameworks and more





- all operations are one of
  - special forms (built-in functions known to compiler)
  - functions
  - macros

### Open source with a liberal license

# **Clojure Processing**

### Read-time

- reads Clojure source code
- creates a data structure representation of the code
- Compile-time
  - expands macro calls into code
  - compiles data structure representation to Java bytecode
  - can also compile ahead of time (AOT)
- Run-time
  - executes bytecode





# Code Comparison

### Java method call

methodName(arg1, arg2, arg3);

Clojure function call

(function-name arg1 arg2 arg3)  $\leftarrow$ 

Java method definition

public void hello(String name) {
 System.out.println("Hello, " + name);
}

### • Clojure function definition

(defn hello [name] (println "Hello," name))

### This is referred to as a "form".

It uses prefix notation.

This allows what are binary operators in other languages to take any number of arguments. Other than some syntactic sugar, EVERYTHING in Clojure looks like this! This includes function/macro definitions, function/macro calls, variable bindings and control structures.



# Syntactic Sugar

### • See http://ociweb.com/mark/clojure/article.html#Syntax

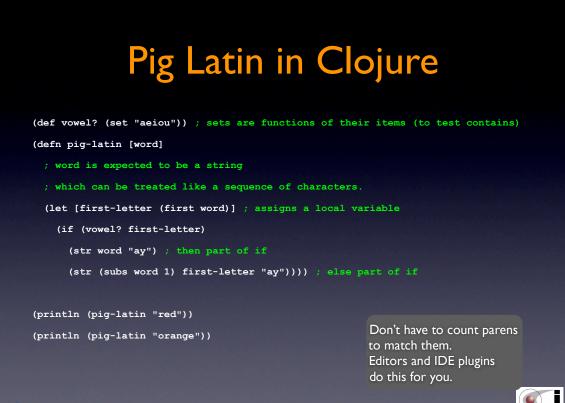
Purpose	Sugar	Function
comment	; text for line comments	(comment text) macro for block comments
character literal (uses Java char type)	\char \tab \newline \space \uunicode-hex-value	(char ascii-code) (char \uunicode)
string (uses Java String objects)	"text"	(str char1 char2) concatenates characters and many other kinds of values to create a string.
keyword; an interned string; keywords with the same name refer to the same object; often used for map keys	:name	(keyword "name")
keyword resolved in the current namespace	::name	none
regular expression	<pre>#"pattern" quoting rules differ from function form</pre>	(re-pattern pattern)
treated as whitespace; sometimes used in collections to aid readability	, (a comma)	N/A
list - similar to a linked list	' ( <i>items</i> ) doesn't evaluate items	(list items) evaluates items
vector - similar to an array	[items]	(vector items)
set	#{items}	(hash-set items) (sorted-set items)
map	{key-value-pairs}	(hash-map key-value-pairs) (sorted-map key-value-pairs)
and more on the web page		
	15	Овјет Соничти

# **Provided Functions/Macros**

• See http://ociweb.com/mark/clojure/ClojureCategorized.html

he following table categori	ies Clojure functions, macros and special forms.	
Category	Functions/Macros	
arrays - general	aclone aget alength amap areduce aset into-array make-array to-array to-array-2d	
arrays - type-specific	aset-boolean aset-byte aset-char aset-double aset-float aset-int aset-long aset-short double-array float-array int-array long-array	
bindings	binding declare def defonce if-let let with-local-vars	
bitwise operations	bit-and bit-and-not bit-clear bit-flip bit-not bit-or bit-set bit-shift-left bit-shift-right bit-test bit-xor	
Clojure code access	load load-file load-reader load-string loaded-libs require source use	
compiling	compile gen-class gen-interface	
conditional logic	cond condp if if-let when when-first when-let when-not	
conversions	bigdec bigint boolean byte char double float int long num short	
databases	resultset-seq	
exception handling	catch finally throw throw-if try	
functions	comp complement constantly declare defn defn- fn partial	
multimethods	defmethod defmulti prefer-method remove-method	

# <text>

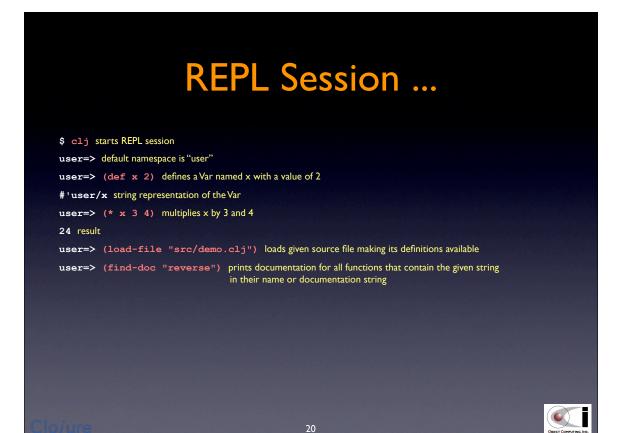


loiure

# **Getting Started**

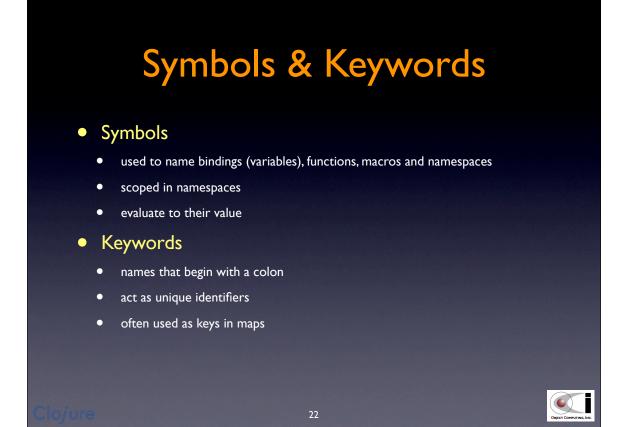
- See http://ociweb.com/mark/clojure/article.html#GettingStarted
- Download latest code from Subversion
- Build using Ant
- Create a clj script
  - starts a read-eval-print loop (REPL)
    - standard tool used by Lisp dialects to experiment with code
  - runs Clojure source files (.clj extension)
  - adds frequently used JARs to classpath
  - adds editing features using rlwrap or JLine
  - adds use of a startup script for other customizations

19



# ... REPL Session

```
user=> (doc reverse)
------
clojure.core/reverse
([coll])
    Returns a seq of the items in coll in reverse order. Not lazy.
nil
user=> (source reverse)
(defn reverse
    "Returns a seq of the items in coll in reverse order. Not lazy."
    [coll]
    (reduce conj () coll))
nil
user=> (reverse [1 2 3])
(3 2 1)
user=> ctrl-d exits REPL; use ctrl-z Enter on Windows
$
```



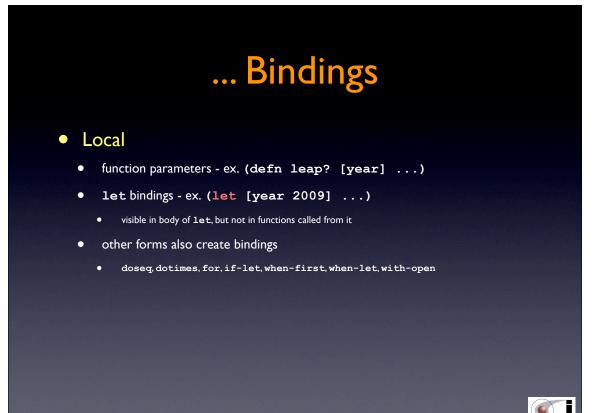
# Bindings ...

### Global

- create and modify with def
- ex. (def year 2009)
- Thread-local
  - create by binding global bindings to a new value
  - ex. (binding [year 2010] ...)
  - visible in body of **binding** and in functions called from it within the current thread







# **Conditional Forms**

- (if condition then-expr else-expr)
- (if-let [name expression] then-expr else-expr)
- (when condition expressions)
- (when-not condition expressions)
- (when-let [name expression] expressions)
- (when-first [name expression] expressions)
- (cond test1 result1 test2 result2 ... [true default-result])
- (condp fn arg1 arg2-1 result1 arg2-2 result2 ... [default-result])





## **Iteration Forms**

- Basic
  - (dotimes [name number-expr] expressions)
  - (while test-expr expressions)
- List comprehension
  - doseq described ahead
  - for described ahead
- Recursion
  - **loop/recur** for single recursion without call stack growth; see next slide
  - trampoline for mutual recursion without call stack growth (rarely used)

# loop / recur ...

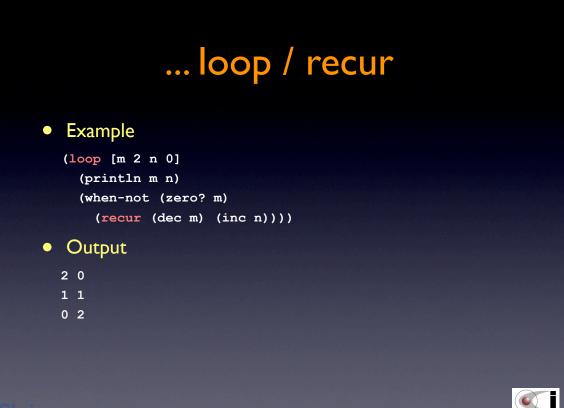
- Converts what would otherwise be a tail recursive call to a loop that doesn't consume stack space
- loop
  - creates initial bindings and establishes a recursion point
- recur
  - returns to the recursion point with new bindings

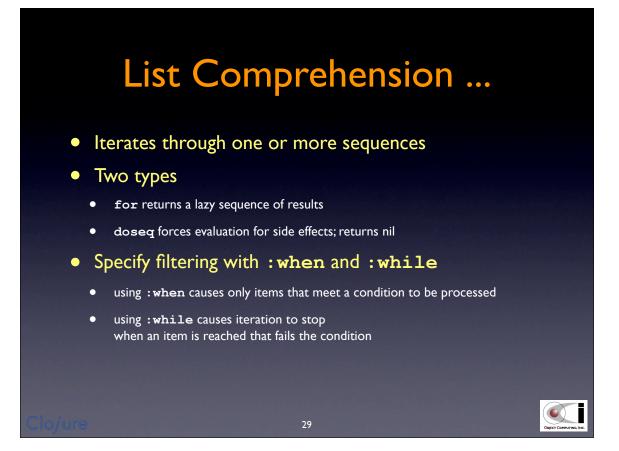
### • containing function definition

- also establishes a recursion point
- can use **recur** without **loop** to recur to beginning of function













# Clojure Collections ...

### Four types

- list, vector, set and map
- all are immutable, heterogeneous and persistent

### Many functions operate on all types

- retrieve a single item
  - first fnext second nth last ffirst peek
- retrieve multiple items
  - butlast drop drop-last drop-while filter next nnext nthnext pop remove rest rseq rsubseq subseq take take-nth take-while
- other

•

 apply cache-seq concat conj cons count cycle distinct doall dorun empty fnseq iterate interleave interpose into lazy-cat lazy-cons map mapcat partition range repeat repeatedly replace replicate reverse seq seque sort sort-by split-at split-with tree-seq



# ... Clojure Collections ...

### Lists

- ordered collections of items
- can add to and remove from <u>front</u> efficiently
- create with (list ...) or '(...)
- use **peek** and **pop** to treat like a stack



# ... Clojure Collections ...

### Vectors

- ordered collections of items
- can add to and remove from <u>back</u> efficiently
- create with (vector ...) or [...]
- can retrieve items with (get vector-name index)
- can create a new version with (assoc vector-name index expr)



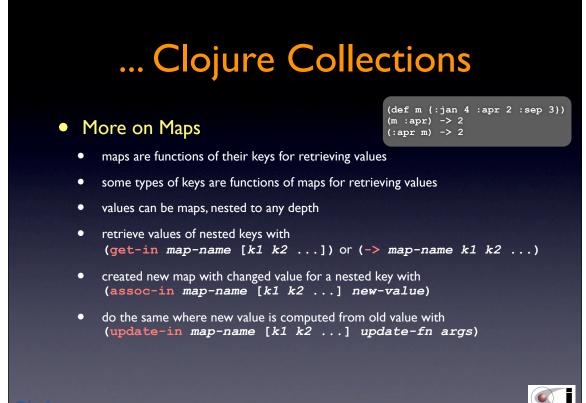
### ... Clojure Collections ... Sets unordered collections of unique items • • efficiently test whether an item is contained with (contains? set-name item) or (set-name item) create with (hash-set ...) or (sorted-set ...) or #{...} • create new version with item added with (conj set-name new-item) • • create new version with item removed with (disj set-name old-item) clojure.set namespace defines the functions • difference, intersection, union and more

# ... Clojure Collections ...

### Maps

- collections of key/value pairs
- keys and values can be any kinds of objects
- can efficiently retrieve values associated with keys with (map-name key)
- create with (hash-map ...) or (sorted-map ...) or {...}
- create new version with pairs added with (assoc map-name  $k1 v1 \dots$ )
- create new version with pairs removed with (**dissoc** map-name key ...)
- efficiently determine if an item is contained with (contains? map-name key) or (map-name key)
- get a sequence of all keys with (keys map-name)
- get a sequence of all values with (vals map-name)

### 35



loiure

# StructMaps ...

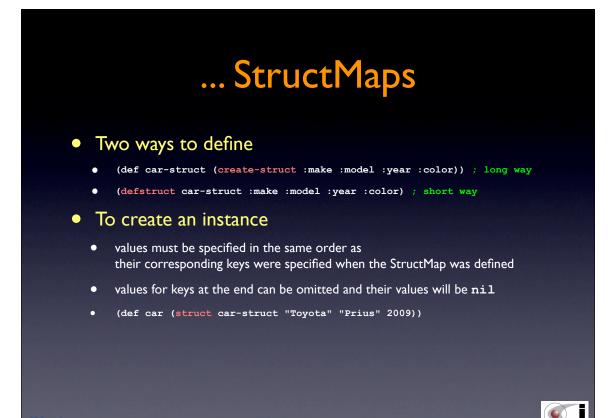
### • Similar to regular maps, but optimized

- to take advantage of common keys in multiple instances so they don't have to be repeated
- can create accessor functions that are faster than ordinary key lookups

### Use is similar to that of Java Beans

- proper equals and hashCode methods are generated for them
- Keys
  - are normally specified with keywords
  - new keys not specified when StructMap was defined can be added to instances
  - keys specified when StructMap was defined cannot be removed from instances





38

# **Defining Functions**

(defn fn-name
 "optional documentation string"
 [parameters]
 expressions)

### • **defn**- for private functions

• can only be called from other functions in the same namespace

### • Can take a variable number of

- after required parameters, add & and a name to hold sequence of remaining
- ex. (defn calculate [n1 n2 & others] ...)





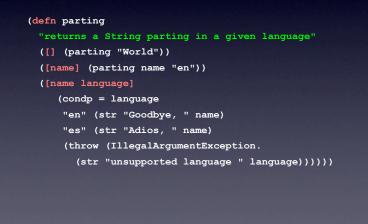
# Anonymous Functions

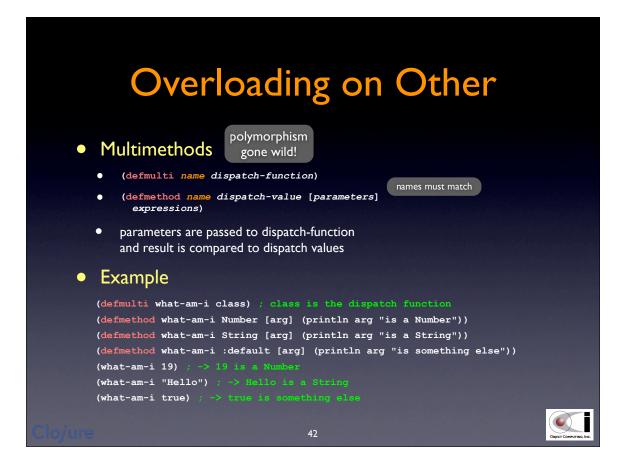
- Typically passed to other functions
- Two ways to define
- Named parameters
  - (fn [parameters] expressions)
  - example: (fn [n1 n2] (/ (+ n1 n2) 2))
- Unnamed parameters
  - #(expression)
  - arguments are referenced with %, %1, %2, ...
  - example: #(/ (+ %1 %2) 2))

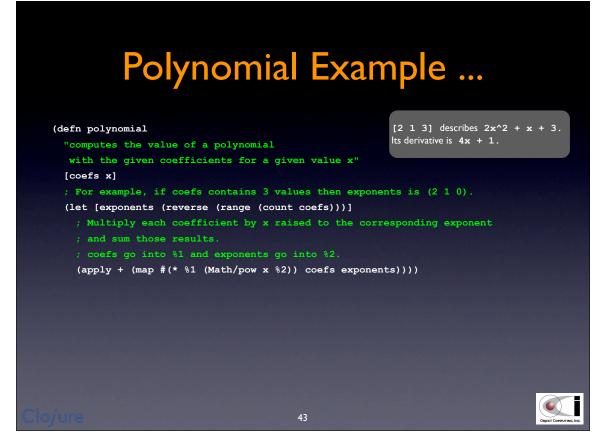


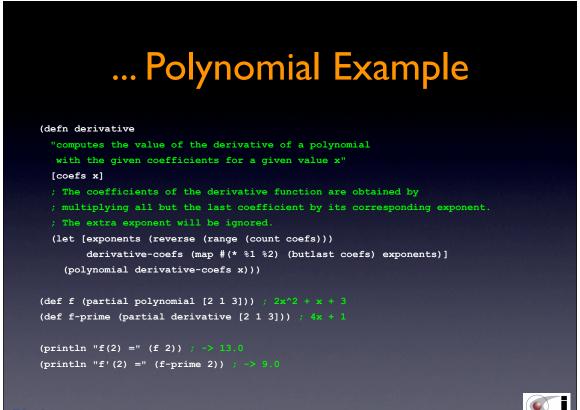
# **Overloading on Arity**

 A function definition can have more than one argument list and a body for each

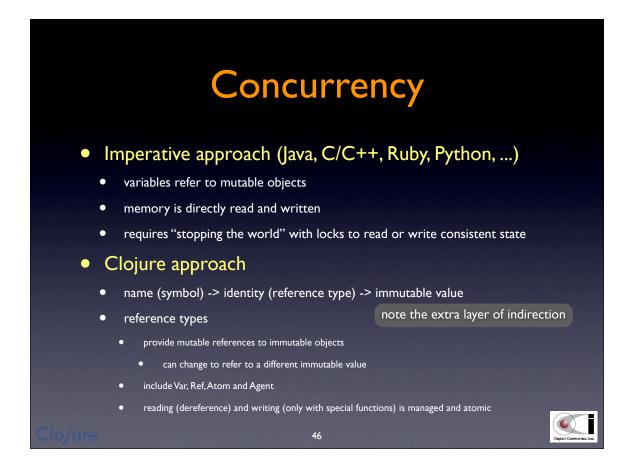












# Var Reference Type

- Primarily used for constants
- Secondarily used for global bindings that may need different, thread-local values
- Create with (def name initial-value)
- Change with
  - (def name new-value) sets new root value
  - (alter-var-root (var name) update-fn args) atomically sets new root value to the return value of update-fn which is passed the current value and additional arguments

47

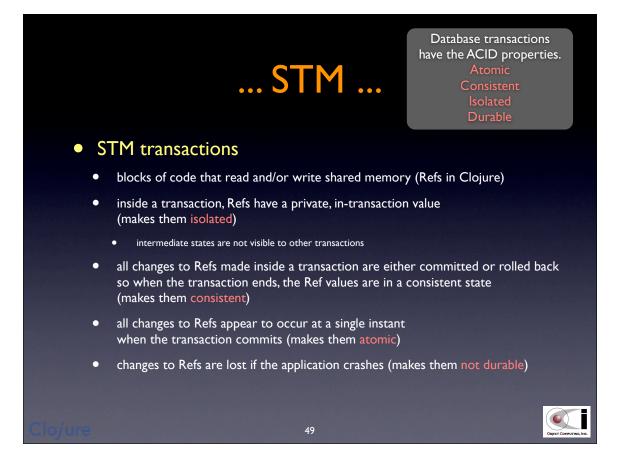
• (set! name new-value) - sets new, thread-local value inside a binding form

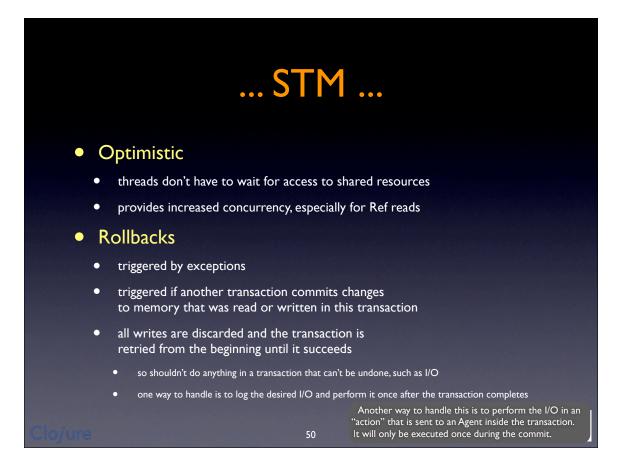


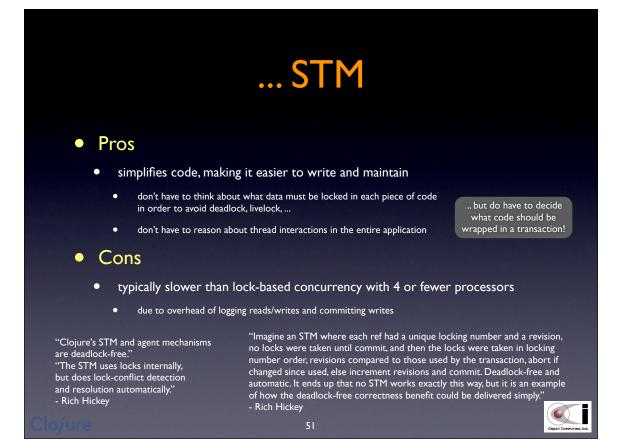
# Software Transactional Memory (STM) ... look for OCI Java News Brief article on this on 9/1/09 Overview "a concurrency control mechanism analogous to database transactions for controlling access to shared memory" - Wikipedia based on ideas from snapshot isolation

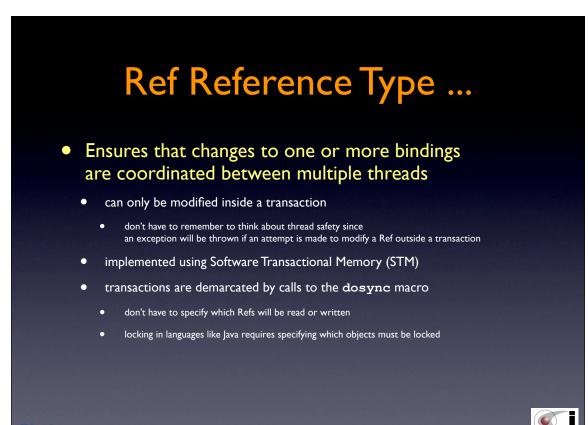
- "a guarantee that all reads made in a transaction will see a consistent snapshot of the database, and the transaction itself will successfully commit only if no updates it has made conflict with any concurrent updates made since the snapshot." - Wikipedia
- based on ideas from multiversion concurrency control (MVCC)
  - "... provides each user connected to the database with
    a "snapshot" of the database for that person to work with.
    Any changes made will not be seen by other users of the database
    until the transaction has been committed." Wikipedia









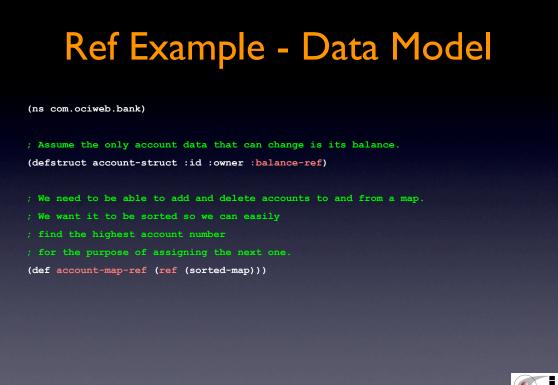


# ... Ref Reference Type

### • While in a transaction ...

- if an attempt is made to read or write a Ref that has been modified in another transaction that has committed since the current transaction started (a conflict), the current transaction will retry up to 10,000 times
- retry means it will discard all its in-transaction changes and return to the beginning of the dosync body
- no guarantees about when a transaction will detect a conflict or when it will begin a retry, just that they will be detected and retries will be performed
- it is important that the code executed inside transactions be free of side effects since it may be run multiple times due to these retries





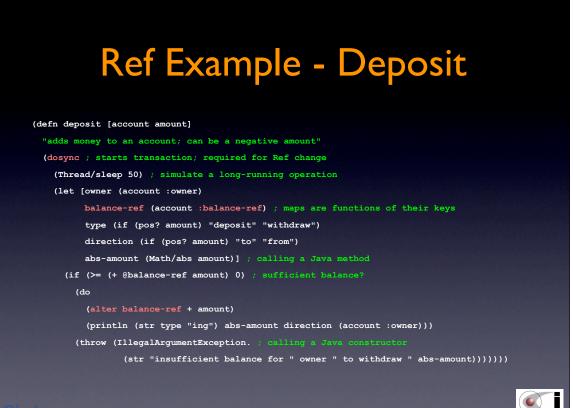


# **Ref Example - New Account**

### (defn open-account

[owner] ; parameter vector			
(dosync ; starts transaction; required for Ref change			
(let [account-map @account-map-ref ; dereference			
last-entry (last account-map)			
; The id for the new account is one higher than the last one.			
id (if last-entry (inc (key last-entry)) 1)			
; Create the new account with a zero starting balance.			
account (struct account-struct id owner (ref 0))]			
; Add the new account to the map of accounts.			
(alter account-map-ref assoc id account)			
; Return the account that was just created.			
account)))			

55



# Ref Example - Withdrawal

### (defn withdraw

"removes money from an account"
[account amount]
; A withdrawal is like a negative deposit.
(deposit account (- amount)))



# **Ref Example - Transfer**

(defn transfer [from-account to-account amount]

(dosync ; composing transactions from withdraw and deposit

(println "transferring" amount

"from" (from-account :owner)

"to" (to-account :owner))

(withdraw from-account amount)

(deposit to-account amount)))



# Ref Example - Report

(defn- report-1 ; a private function "prints information about a single account" [account] ; This assumes it is being called from within ; the transaction started in report. (let [balance-ref (account :balance-ref)] (println "balance for" (account :owner) "is" @balance-ref))) (defn report "prints information about any number of accounts" [& accounts] (dosyne (doseq [account accounts] (report-1 account)))) doseq performs list comprehension





# **Ref Example - Exceptions**

; Set a default uncaught exception handler ; to handle exceptions not caught in other threads. (Thread/setDefaultUncaughtExceptionHandler (proxy [Thread\$UncaughtExceptionHandler] []

(uncaughtException [thread throwable]
; Just print the message in the exception.

(println (-> throwable .getCause .getMessage)))))

first argument to **proxy** is a vector of the class to extend and/or interfaces to implement

second argument to proxy is a vector arguments to the superclass constructor



# Ref Example - Main

(let [a1 (open-account "Mark")
 a2 (open-account "Tami")
 thread (Thread. #(transfer al a2 50))] ; anonymous functions implement Runnable
(try
 (deposit al 100)
 (deposit a2 200)
 ; There are sufficient funds in Mark's account at this point to transfer \$50 to Tami's account.
 (.start thread) ; will sleep in deposit function twice!
 ; Unfortunately, due to the time it takes to complete the transfer
 ; (simulated with a sleep call), the next call will complete first.
 (withdraw al 75)
 ; Now there are insufficient funds in Mark's account to complete the transfer.
 (.join thread) ; wait for thread to finish
 (report al a2)
 (catch IllegalArgumentException e
 (println (.getMessage e) "in main thread")))))

# Ref Example - Output

depositing 100 to Mark depositing 200 to Tami transferring 50 from Mark to Tami withdrawing 75 from Mark transferring 50 from Mark to Tami from retry insufficient balance for Mark to withdraw 50 balance for Mark is 25 balance for Tami is 200



# **Atom Reference Type**

- For updating a single value
  - not coordinating changes to multiple values
- Simpler than the combination of Refs and STM
- Not affected by transactions
- Three functions atomically change an Atom value
  - **reset!** changes without considering old value
  - **compare-and-set!** changes only if old value is known
  - swap! calls a function to compute the new value based on the old value repeatedly until the value at the beginning of the function matches the value just before it is changed
    - uses compare-and-set! after calling the function





# <section-header><list-item><list-item><list-item><list-item><list-item><list-item><list-item>

# **Editors and IDEs**

### Emacs

- clojure-mode and swank-clojure, both at http://github.com/jochu.swank-clojure
- uses the Superior Lisp Interaction Mode for Emacs (Slime) described at http://common-lisp.net/project/slime/
- Vim
  - VimClojure http://kotka.de/projects/clojure/vimclojure.html and Gorilla at http://kotka.de/projects/clojure/gorilla.html
- NetBeans enclojure at http://enclojure.org/
- IDEA "La Clojure" at http://plugins.intellij.net/plugin/?id=4050
- Eclipse clojure-dev at http://code.google.com/p/clojure-dev/



# Resources

### • http://ociweb.com/mark/clojure/ contains

- a link to a long Clojure article I wrote
- a link to page that categorizes all built-in Clojure special forms, functions and macros
- many other Clojure-related links

